BEFORE THE BOARD OF MEDICAL EXAMINERS

IN THE STATE OF ARIZONA

In the Matter of

SAMUEL NATHAN POZNER, M.D.

Holder of License No. 11663 For the Practice of Medicine In the State of Arizona.

Respondent.

Investigation No. 8789

CONSENT AGREEMENT AND ORDER FOR DECREE OF CENSURE AND PRACTICE RESTRICTION

CONSENT AGREEMENT

RECITALS

In the interest of a prompt and judicious settlement of this case, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Medical Examiners ("Board"), and under A.R.S. §§ 32-1401 et seq. and 41-1092.07(F)(5), Samuel Nathan Pozner, M.D., holder of license number 11663 to practice allopathic medicine in the State of Arizona ("Respondent"), and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as the final disposition of this matter.

- 1. Respondent has read and understands this Consent Agreement as set forth herein, and has had the opportunity to discuss this Consent Agreement with an attorney or has waived the opportunity to discuss this Consent Agreement with an attorney. Respondent voluntarily enters into this Consent Agreement for the purpose of avoiding the expense and uncertainty of an administrative hearing.
 - 2. Respondent understands that he has a right to a public administrative hearing

concerning each and every allegation set forth in the above-captioned matter, at which administrative hearing he could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondent freely and voluntarily relinquishes all right to such an administrative hearing, as well as all rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action, concerning the matters set forth herein. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.

- 3. Respondent agrees that the Board may adopt this Consent Agreement or any part of this agreement, under A.R.S. § 32-1451(G)(5). Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action against him.
- 4. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision or officer of this state from instituting other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 5. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, Respondent may not revoke his acceptance of the Consent Agreement or make any modifications to the document, regardless of whether the Consent Agreement has been issued by the Executive Director. Any modification to this original document is ineffective and void unless mutually approved by the parties in writing.

- 6. Respondent understands that the foregoing Consent Agreement shall not become effective unless and until adopted by the Board and signed by its Executive Director.
- 7. Respondent understands and agrees that if the Board does not adopt this Consent Agreement, he will not assert as a defense that the Board's consideration of this Consent Agreement constitutes bias, prejudice, prejudgment or other similar defense.
- 8. Respondent understands that this Consent Agreement is a public record that may be publicly disseminated as a formal action of the Board, and shall be reported as required by law to the National Practitioner Data Bank and the Healthcare Integrity and Protection Data Bank.
- 9. Respondent understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-1401(25)(r)([v]iolating a formal order, probation, consent agreement or stipulation issued or entered into by the board or its executive director under the provisions of this chapter) and may result in disciplinary action pursuant to A.R.S. § 32-1451,

DATED: 07/14/01

SAMUEL NATHAN POZNER, M.O.

Reviewed and Approved as to form:

TOM SLUTES,

Attorney for Respondent

FINDINGS OF FACT

By stipulation of the parties, this Consent Agreement is entered into for final disposition of the matters described therein. Respondent acknowledges that sufficient evidence exists for the Board to make the following Findings of Fact:

- 1. The Board possesses jurisdiction over the subject matter and over Respondent as a licensee of the Board, under A.R.S. § 32-1401, et seq.
- 2. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 3. Respondent is the holder of License No. 11663 for the practice of allopathic medicine in the State of Arizona.
- 4. In April of 1995, the Board received a letter from Mercy Care Plan (Board Investigation No. 8789) stating that during a cold knife conization of the cervix of a female patient (hereinafter referred to by patient's initials, "R.J.P."), Respondent perforated R.J.P.'s sigmoid colon, which is a complication surgeons strive to avoid. Because of this, concerns were raised by the Board concerning the Respondent's competence.
- 5. Respondent was invited to an informal interview with the Board on January 24, 1997 and did appear to discuss his care treatment of patient R.J.P. and the results of an office survey conducted by Board staff. At that time, Respondent was ordered to take and pass (i.e., a score of at least 75) the Special Purpose Examination (hereinafter "SPEX") within ten weeks and to undergo psychiatric, psychometric and physical examinations.
- 6. On February 6, 1997, the Board issued an Amended Interim Order ("Interim Order") to Respondent ordering that he take and pass the SPEX Exam within ten weeks of the Interim Order, and to undergo physician, psychiatric and psychological/psychometric testing within ninety days of the Interim Order.
- 7. Respondent took the SPEX examination on October 15, 1997, and received a score of 74, with high marks in the fields in which he usually practices, such as reproductive anatomy,

hematology, and neurology. Dr. Pozner had difficulty taking the examination since it was only offered by computer.

8. On March 20, 1997, Respondent was evaluated by Dr. Phillip Lett, Ph.D., for the psychological/psychometric portion of the Board ordered evaluations. On March 27, 1997, Respondent underwent a physical examination and evaluation by John Curtin, M.D. On March 28, 1997, Respondent was interview by Dr. Michael Brennan, M.D., for the psychiatric portion of the Board ordered evaluations. The written reports submitted by the aforementioned health care professionals did not disclose substantial evidence establishing Respondent's lack of professional fitness to continue practicing medicine.

CONCLUSIONS OF LAW

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona, under A.R.S. § 32-1401, et seq.
- 2. The conduct and circumstances described above regarding Respondent's treatment of patient R.J.P. constitutes unprofessional conduct under A.R.S. § 32-1401(25)(q) ([a]ny conduct or practice which is or might be harmful or dangerous to the health of the patient or the public).
- 3. The conduct and circumstances described above regarding Respondent's failure to pass the SPEX examination constitutes unprofessional conduct under A.R.S. § 32-1401(25)(r) ([v]iolating a formal order, probation, consent agreement or stipulation issued or entered into by the board or its executive director under the provisions of this chapter).

ORDER

Based upon the above Findings of Fact and Conclusions of Law and under the authority granted to the Board by A.R.S. § § 41-1092.07(F)(5) and 32-1451,

IT IS HEREBY ORDERED that:

- 1. Respondent is hereby issued a Decree of Censure for the unprofessional conduct described above.
- 2. Respondent shall not perform any type of surgical procedure, including but not limited to, minor out-patient surgical procedures, surgery with laser, biopsy, conization or incision and drainage, until Respondent meets with the Board and affirmatively receives the Board's approval to return to surgical practice. The Board may require any combination of Board staff approved physical examinations, psychiatric and/or psychological evaluations or successful passage of the Special Purpose Licensing Examination or other competency examinations/evaluations or interviews it finds to assist it in determining Respondent's ability to safely and competently perform surgical procedures.

DATED AND EFFECTIVE this 17th day of august, 2001.



BOARD OF MEDICAL EXAMINERS OF THE STATE OF ARIZONA

CLAUDIA FOUTZ
Executive Director

TOM ADAMS

Assistant Director - Regulation

ORIGINAL of the foregoing mailed this 20 day of August, 2001, to:

Board Operations Arizona Board of Medical Examiners 9545 E. Doubletree Ranch Road Scottsdale, Arizona 85258

1	COPY of the foregoing mailed by
2	U.S. Certified Mail this 20 day of
	Augus + , 2001 to:
3	
4	Samuel N. Pozner, M.D. 2939 E. Drachman Street
5	Tucson, Arizona 85716
6	COPY of the foregoing mailed
7	this <u>20</u> day of <u>August</u> , 2001, to:
8	Tom Slutes, Esq. Slutes Sakrison Grant Hill & Rubin P.C.
9	33 North Stone St., Suite 1100
10	Tucson, AZ 85701-1489 Attorney for Respondent
11	Roberto Pulver
12	Assistant Attorney General
13	1275 W. Washington, CIV/LES Phoenix, Arizona 85007
14	Attorney for the State
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